S. 260

IN THE SENATE OF THE UNITED STATES

JANUARY 9, 1973

Mr. Chiles (for himself, Mr. Clark, Mr. Cook, Mr. Cranston, Mr. Gurney, Mr. Hart, Mr. Hatfield, Mr. Humphrey, Mr. Mathias, Mr. Metcalf, Mr. Mondale, Mr. Nelson, Mr. Packwood, Mr. Proxmire, Mr. Roth, Mr. Stafford, Mr. Stevenson, Mr. Tunney, and Mr. Weicker) introduced the following bill; which was read twice and referred to the Committee on Government Operations

A BILL

- To provide that meetings of Government agencies and of congressional committees shall be open to the public, and for other purposes.
- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 Section 1. Short Title.—This Act may be cited as
- 4 the "Government in the Sunshine Act".
- 5 Sec. 2. Declaration of Policy.—It is hereby
- 6 declared to be the policy of the United States that the public
- 7 is entitled to the fullest practicable information regarding
- 8 the decisionmaking processes of the Federal Government.

1	Sec. 3. Definitions.—For purposes of this Act—
2	(1) "National security" means—
3	(A) the protection of the United States against
4	actual or potential attack or other hostile acts of a foreign
5	power;
6	(B) the obtaining of foreign intelligence information
7	deemed essential to the security of the United States;
8	(C) the protection of national security information
9	against foreign intelligence activities; or
10	(D) the protection, to the extent deemed necessary
11	by the President of the United States against the over-
12	throw of the Government by force; and
13	(2) "Person" includes an individual, partnership, cor-
14	poration, associated governmental authority, or public or
15	private organization.
16	TITLE I—CONGRESSIONAL PROCEDURES
17	SEC. 101. SENATE COMMITTEE HEARING PRO-
18	CEDURE.—(a) The Legislative Reorganization Act of 1946
1 9	is amended—
20	(1) by striking out the third sentence of section
21	133 (b);
22	(2) by striking out subsections (a), (b), and (f)
23	of section 133A;
24.	(3) by adding after section 133B the following:

Т	"OPEN SENATE COMMITTEE MEETINGS
2	"Sec. 133C. (a) Each meeting of each standing, select,
3	or special committee or subcommittee of the Senate, in-
4	cluding meetings to conduct hearings, shall be open to the
5	public: Provided, That a portion or portions of such meet-
6	ings may be closed to the public if the committee or sub-
7	committee, as the case may be, determines by vote of a
8	majority of the members of the committee present that the
9	matters to be discussed or the testimony to be taken at such
10	portion or portions—
11	"(1) will disclose matters necessary to be kept
12	secret in the interests of national security or the confiden-
13	tial conduct of the foreign relations of the United States;
14	"(2) will relate solely to matters of committee staff
1 5	personnel or internal staff management or procedure;
16	"(3) will tend to charge with crime or misconduct,
17	or to disgrace, injure the professional standing or other-
18	wise expose to public contempt or obloquy any indi-
19	vidual, or will represent a clearly unwarranted invasion
20	of the privacy of any individual: Provided, That this
21	subsection shall not apply to any government officer or
22	employee with respect to his official duties or employ-
23	ment: And provided further, That as applied to a wit-
24	ness at a meeting to conduct a hearing, this subsection

1	shall not apply unless the witness requests in writing
2	that the hearing be closed to the public;
3	"(4) will disclose the identity of any informer or
4	law enforcement agent or of any information relating
5	to the investigation or prosecution of a criminal offense
6	that is required to be kept secret in the interests of
7	effective law enforcement; or
8	"(5) will disclose information relating to the trade
9	secrets or financial or commercial information pertain-
10	ing specifically to a given person where-
11	"(A) the information has been obtained by the
12	Federal Government on a confidential basis other
13	than through an application by such person for
14	a specific government financial or other benefit;
15	and
16	"(B) Federal statute requires the information
17	to be kept confidential by Government officers and
18	employees; and
19	"(C) the information is required to be kept
20	secret in order to prevent undue injury to the com-
21	petitive position of such person.
22	A separate vote of the committee shall be taken with re-
23°	spect to each committee or subcommittee meeting that is
24	closed to the public pursuant to this subsection, and the
25	committee shall make available within one day of such

- 1 meeting, a written explanation of its action. The vote of
- 2 each committee member participating in each such vote
- 3 shall be recorded and published and no proxies shall be
- 4 allowed.
- 5 "(b) Each standing, select, or special committee or sub-
- 6 committee of the Senate shall make public announcement of
- 7 the date, place, and subject matter of each meeting (whether
- 8 open or closed to the public) at least one week before such
- 9 meeting unless the committee or subcommittee determines by
- 10 a vote of the majority of its members that committee business
- 11 requires that such meeting be called at an earlier date, in
- 12 which case the committee shall make public announcement
- 13 of the date, place and subject matter of such meeting at the
- 14 earliest practicable opportunity.
- "(c) A complete transcript, including a list of all per-
- 16 sons attending and their affiliation, shall be made of each
- 17 meeting of each standing, select, or special committee or sub-
- 18 committee (whether open or closed to the public). Except as
- 19 provided in subsection (d) of this section, a copy of each such
- 20 transcript shall be made available for public inspection within
- 21 seven days of each such meeting, and additional copies of any
- 22 transcript shall be furnished to any person at the actual cost
- 23 of duplication.
- 24 "(d) In the case of meetings closed to the public pur-
- 25 suant to subsection (a) of this section, the committee or sub-

committee may delete from the copies of transcripts that are 1 required to be made available or furnished to the public pur-2 suant to subsection (c) of this section, those portions which 3 it determines by vote of the majority of the committee or 4 subcommittee consist of materials specified in paragraph 5 (1), (2), (3), (4), or (5) of subsection (a) of this sec-6 tion. A separate vote of the committee or subcommittee shall be taken with respect to each such transcript. The vote of 8 each committee or subcommittee member participating in 9 10 each such vote shall be recorded and published, and no proxies shall be allowed. In place of each portion deleted 11 12 from copies of the transcript made available to the public, 13 the committee or subcommittee shall supply a written explanation of why such portion was deleted, and a summary of the 14 substance of the deleted portion that does not itself disclose 15 information specified in paragraphs (1), (2), (3), (4), 16 (5) of subsection (a). The committee or subcommittee shall 17 maintain a complete copy of the transcript of each meeting 18 (including those portions deleted from copies made avail-19 able to the public), for a period of at least one year after such 20 meeting. 21 "(e) A point of order may be raised in the Senate 22 against any committee vote to close a meeting to the public 23 pursuant to subsection (a) of this section, or against any 24 committee or subcommittee vote to delete from the publicly 25

available copy a portion of a meeting transcript pursuant 1 2 to subsection (d) of this section, by committee or subcom-3 mittee members comprising one-fourth or more of the total 4 membership of the entire committee or subcommittee, as 5 the case may be. Any such point of order shall be raised 6 in the Senate within five legislative days after the vote 7 against which the point of order is raised, and such point of order shall be a matter of highest personal privilege. Each such point of order shall immediately be referred to 10 a Select Committee on Meetings consisting of the Presi-11 dent pro tempore, the leader of the majority party, and 12 the leader of the minority party. The select committee 13 shall examine the complete verbatim transcript of the 14 meeting in question and shall rule whether the vote to close 15 the meeting was in accordance with subsection (a) of this 16 section, or whether the vote to delete a portion or portions 17 from publicly available copies of the meeting transcript was in accordance with subsection (d) of this section, as the 18 case may be. The select committee should report to the 19 Senate within five calendar days (excluding days where 20 the Senate is not in session) a resolution containing its find-21 22 ings. If the Senate adopts a resolution finding that the committee vote in question was not in accordance with the 23 24 relevant subsection, it shall direct that there be made pub-25 licly available the entire transcript of the meeting improp-

- 1 erly closed to the public or the portion or portions of any
- 2 meeting transcript improperly deleted from the publicly
- 3 available copy, as the case may be.
- 4 "(f) The Select Committee on Meetings shall not be
- 5 subject to the provisions of subsection (a), (b), (c), or (d)
- 6 of this section."
- 7 (b) Subsection (a) of subsection 242 of the Legislative
- 8 Reorganization Act of 1970 is repealed.
- 9 (c) Title I of the table of contents of the Legislative Re-
- 10 organization Act of 1946 is amended by inserting immedi-
- 11 ately below item 133B the following:
 - "133C. Open senate committee meetings.".
- Sec. 102. Clause 27 (f) (2) of rule XI of the Rules of
- 13 the House of Representatives is amended to read as follows:
- "(2) (A) Each meeting of each standing, select, or spe-
- 15 cial committee or subcommittee, including meetings to con-
- 16 duct hearings, shall be open to the public: Provided, That a
- 17 portion or portions of such meetings may be closed to the
- 18 public if the committee or subcommittee, as the case may be,
- 19 determines by vote of a majority of the members committee
- 20 or subcommittee present that the matters to be discussed or
- 21 the testimony to be taken at such portion or portions—
- 22 "(i) will probably disclose matters necessary to be
- 23 kept secret in the interests of national security or the con-

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1	fidential conduct of the foreign relations of the United
2	States;
3	"(ii) will relate solely to matters of committee staff
4	personnel or internal staff management or procedure;
5	"(iii) will tend to charge with crime or misconduct,
6	or to disgrace, injure the professional standing or other-
7	wise expose to public contempt of obloquy any individ-
8	ual, or will represent a clearly unwarranted invasion of
9	the privacy of any individual: Provided, That this sub-
10	section shall not apply to any government or officer or
11	employee with respect to his official duties or employ-
12	ment: And provided further, That as applied to a wit-
13	ness at a meeting to conduct a hearing, this subsection
14	shall not apply unless the witness requests in writing
15	that the hearing be closed to the public;
16	"(iv) will probably disclose the identity of any in-
17	former or law enforcement agent or of any information
18	relating to the investigation or presecution of a criminal
19	offense that is required to be kept secret in the interests
20	of effective law enforcement; or
21	"(v) will disclose information relating to the trade
22	secrets of financial or commercial information pertain-
23	ing specifically to a given person where—
24	"(I) the information has been obtained by the
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1	Federal Government on a confidential basis other
2	than through an application by such person for a
3	specific government financial or other benefit;
4	"(II) Federal statute requires the information
5	to be kept confidential by Government officers and
6	employees, and
7	"(III) the information is required to be kept
8	secret in order to prevent undue injury to the com-
9	petitive position of such persons.
10	A separate vote of the committee shall be taken with respect
11	to each committee or subcommittee meeting that is closed
12	to the public pursuant to this subsection, and the committee
13	shall make available within one day of such meeting, a
14	written explanation of its action. The vote of each commit-
l5	tee member participating in each such vote shall be re-
l6	corded and published and no proxies shall be allowed.
17	"(B) Each standing, select, or special committee or
18	subcommittee shall make public announcement of the date,
9	place, and subject matter of each meeting (whether open
20	or closed to the public) at least one week before such
21	meeting unless the committee or subcommittee determines
2	that committee business requires that such meeting be called
23	at an earlier date, in which case the committee shall make
24	public announcement of the date, place, and subject matter
5	of such meeting at the earliest practicable opportunity.

- "(C) A complete transcript, including a list of all per-1 2 sons attending and their affiliation, shall be made of each 3 meeting of each standing, select, or special committee or 4 subcommittee meeting (whether open or closed to the public). Except as provided in paragraph (D), a copy of each 5 6 such transcript shall be made available for public inspection 7 within seven days of each such meeting, and additional copies 8 of any transcript shall be furnished to any person at the actual 9 cost of duplication. "(D) In the case of meetings closed to the public pur-
- 10 11 suant to subparagraph (A), the committee or subcommittee 12 may delete from the copies of transcripts that are required 13 to be made available or furnished to the public pursuant to subparagraph (C), portions which it determines by vote of 14 the majority of the committee or subcommittee consist 15 of material specified in clauses (i), (ii), (iii), (iv), or (v) 16 of subparagraph (A). A separate vote of the committee 17 or subcommittee shall be taken with respect to each tran-18 script. The vote of each committee or subcommittee mem-19 ber participating in each such vote shall be recorded and 20 published, and no proxies shall be allowed. In place of each 21 portion deleted from copies of the transcript made available 22 to the public, the committee shall supply a written ex-23 planation of why such portion was deleted and a summary of 24 the substance of the deleted portion that does not itself dis-25

- 1 close information specified in subsection (i), (ii), (iii),
- 2 (iv), or (v) of subsection (a). The committee or sub-
- 3 committee shall maintain a complete copy of the transcript of
- 4 each meeting (including those portions deleted from copies
- 5 made available to the public), for a period of at least one
- 6 year after such meetings.
- 7 "(E) A point of order may be raised against any com-
- 8 mittee or subcommittee vote to close a meeting to the public
- 9 pursuant to subparagraph (A), or against any committee
- 10 or subcommittee vote to delete from the publicly available
- 11 copy a portion of a meeting transcript and pursuant to sub-
- 12 paragraph (D), by committee or subcommittee members
- 13 comprising one-fourth or more of the total membership of
- 14 the entire committee or subcommittee. Any such point of
- 15 order must be raised before the entire House within five
- 16 legislative days after the vote against which the point of
- 17 order is raised, and such point of order shall be a matter of
- 18 highest privilege. Each such point of order shall immediately
- 19 be referred to a Select Committee on Meetings consisting
- 20 of the Speaker of the House of Representatives, the major-
- 21 ity leader, and the minority leader. The select committee
- 22 shall report to the House within five calendar days (exclud-
- 23 ing days where the House is not in session) a resolution
- 24 containing its findings. If the House adopts a resolution
- 25 finding that the committee vote in question was not in accord-

- 1 ance with the relevant subsection, it shall direct that there
- 2 be made publicly available the entire transcript of the meet-
- 3 ing improperly closed to the public or the portion or por-
- 4 tions of any meeting transcript improperly deleted from
- 5 the publicly available copy.
- 6 "(F) The Select Committee on Meetings shall not be
- 7 subject to the provisions of subparagraph (A), (B), (C),
- 8 or (D)."
- 9 Sec. 103. Conference Committees.—The Legisla-
- 10 tive Reorganization Act of 1946 is amended by inserting
- 11 after section 133(c), as added by section 101(3) of this
- 12 Act the following new section:
- 13 "OPEN CONFERENCE COMMITTEE MEETINGS
- "Sec. 133D. (a) Each meeting of a committee of con-
- 15 ference shall be open to the public: Provided, That a portion
- 16 or portions of such meetings may be closed to the public if
- 17 the committee determines by vote of a majority of the mem-
- 18 bers of the committee present that the matters to be discussed
- 19 or the testimony to be taken at such portion or portions—
- 20 "(1) will disclose matters necessary to be kept secret
- in the interests of national security or the confidential
- conduct of the foreign relations of the United States;
- 23 "(2) will relate solely to matters of committee staff
- 24 personnel or internal staff management or procedure;
- 25 "(3) will tend to charge with crime or misconduct,

1	or to disgrace, injure the professional standing or other-
2	wise expose to public contempt or obloquy any individ
3	ual, or will represent a clearly unwarranted invasion of
4	the privacy of any individual: Provided, That this sub-
5	section shall not apply to any government or officer or
6	employee with respect to his official duties or employ-
7	ment: And, provided further, That as applied to a wit-
8	ness at a meeting to conduct a hearing, this subsection
9	shall not apply unless the witness requests in writing that
10	the hearing be closed to the public;
11	"(4) will disclose the identity of any informer or
12	law enforcement agent or of any information relating to
13	the investigation or prosecution of a criminal offense
14	that is required to be kept secret in the interests of
15	effective law enforcement; or
16	"(5) will disclose information relating to the trade
17	secrets or financial or commercial information pertain-
18	ing specifically to a given person where—
19	"(A) the information has been obtained by the
20	Federal Government on a confidential basis other
21	than through an application by such person for a
22	specific government financial or other benefit;
23	"(B) Federal statute requires the information
24	to be kept confidential by Government officers and

employees; and

1 "(C)	the	information	is	required	to	be	kept
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- 2 secret in order to prevent undue injury to the com-
- 3 petitive position of such persons.
- 4 A separate vote of the committee shall be taken with respect
- 5 to each meeting that is closed to the public pursuant to this
- 6 subsection, and the committee shall make available within
- 7 one day of such meeting, a written explanation of its action.
- 8 The vote of each committee member participating in each
- 9 such vote shall be recorded and published and no proxies
- 10 shall be allowed.
- 11 "(b) Each committee of conference shall make public
- 12 announcement of the date, place, and subject matter of such
- 13 meeting at the earliest practicable opportunity.
- "(c) A complete transcript, including a list of all per-
- 15 sons attending and their affiliation, shall be made of each
- 16 meeting of each committee of conference (whether open or
- 17 closed to the public). Except as provided in subsection (d)
- 18 of this section, a copy of each such transcript shall be made
- 19 available for public inspection within seven days of each such
- 20 meeting, and additional copies of any transcript shall be
- 21 furnished to any person at the actual cost of duplication.
- 22 "(d) In the case of meetings closed to the public pur-
- 23 suant to subsection (a) of this section, the committee of
- 24 conference may delete from the copies of transcripts that are
- 25 required to be made available or furnished to the public pur-

suant to subsection (c) of this section, those portions which 1 it determines by vote of the majority of the committee con-2 sist of materials specified in paragraph (1), (2), (3), (4), 3 or (5) of subsection (a) of this section. A separate vote 4 of the committee shall be taken with respect to each such 5 transcript. The vote of each committee member participating 6 in each such vote shall be recorded and published, and no 7 proxies shall be allowed. In place of each portion deleted from 8 9 copies of the transcript made available to the public, the com- $\cdot 10$ mittee shall supply a written explanation of why such por-11 tion was deleted, and a summary of the substance of the deleted portion that does not itself disclose information speci-12 fied in paragraph (1), (2), (3), (4), or (5) of subsection 13 (a) of this section. The committee shall maintain a complete 14 copy of the transcript of each meeting (including those por-15 tions deleted from copies made available to the public), for 16 a period of at least one year after such meeting. 17 "(e) A point of order may be raised against any com-18 mittee vote of a committee of conference to close a meeting 19 to the public pursuant to subsection (a) of this section or 20 any committee vote to delete from the publicly available 21 copy a portion of a meeting transcript pursuant to subsec-22 tion (d) of this section by committee members comprising 23 one-fourth or more of the total membership of the entire 24 committee. Any such point of order shall be raised in 25

- either House within five legislative days after the vote 1 2 against which the point of order is raised, and such point 3 of order shall be a matter of highest personal privilege. Each 4such point of order shall immediately be referred to a Select 5 Conference Committee on Meetings consisting of the Presi-6 dent pro tempore of the Senate, the Speaker of the House 7 of Representatives, and the majority and minority leaders from each House. The select committee shall examine the 9 complete verbatim transcript of the meeting in question 10 and shall rule whether the vote to close the meeting was 11 in accordance with subsection (a) of this section or whether 12 the vote to delete a portion or portions from publicly avail-13 able copies of the meeting transcript was in accordance with subsection (d) of this section, as the case may be. The 14 select committee reports to both Houses a concurrent re-15 solution within five calendar days (excluding days where 16 either House is not in session) a resolution containing its 17 findings. If both Houses adopt such a resolution finding 18 that the committee vote in question was not in accordance 19 with the relevant subsection, they shall direct that there be 20 made publicly available the entire transcript of the meeting 21 improperly closed to the public or the portion or portions 22 of any meeting transcript improperly deleted from the pub-23 licly available copy, as the case may be. 24
 - "(f) The Select Conference Committee on Meetings

25

- 1 shall not be subject to the provisions of subsection (a), (b),
- 2 (c), or (d) of this section."
- 3 (b) Title I of the table of contents of the Legislative Re-
- 4 organization Act of 1946 is amended by inserting immedi-
- 5 ately below item 133C, as added by section 101 (c) of this
- 6 Act, the following:

"133D. Open conference committee meetings.".

7 TITLE II—AGENCY PROCEDURES

- 8 SEC. 201. (a) This section applies, according to the
- 9 provisions thereof, to any agency, as defined in section
- 10 551 (1) of title 5, United States Code, where the body com-
- 11 prising the agency consists of two or more members. Except
- 12 as provided in subsection (b), all meetings (including meet-
- 13 ings to conduct hearings) of such agencies at which official
- 14 action is considered or discussed shall be open to the public.
- (b) Subsection (a) shall not apply to any portion or
- 16 portions of an agency meeting where the agency determines
- 17 by vote of a majority of its entire membership—
- 18 (1) will probably disclose matters necessary to be
- 19 kept secret in the interests of national security or the
- 20 confidential conduct of the foreign relations of the United
- 21 States;
- 22 (2) will relate solely to individual agency personnel
- or to internal agency office management and procedures
- or financial auditing;

(3) will tend to charge with crime or misconduct,
or to disgrace, injure the professional standing or other-
wise expose to public contempt of obloquy any individ-
ual, or will represent a clearly unwarranted invasion of
the privacy of any individual: Provided, That this sub-
section shall not apply to any government or officer or
employee with respect to his official duties or employ-
ment: And provided further, That as applied to a wit-
ness at a meeting to conduct a hearing, this subsection
shall not apply unless the witness requests in writing that
the hearing be closed to the public;
(4) will probably disclose the identity of any in-

- (4) will probably disclose the identity of any informer or law enforcement agent or of any information relating to the investigation or prosecution of a criminal offense that is required to be kept secret in the interests of effective law enforcement;
- (5) will disclose information relating to the trade secrets or financial or commercial information pertaining specifically to a given person where—
 - (A) the information has been obtained by the Federal Government on a confidential basis other than through an application by such person for a specific government financial or other benefit; and
 - (B) Federal statute requires the information to

i	be kept confidential by government officers and em-
2	ployees, and
3	(C) the information is required to be kept secret
4	in order to prevent undue injury to the competitive
5	position of such persons;
6	(6) will relate to the conduct or disposition (but
7	not the initiation of a case of adjudication governed by
8	the provisions of the first paragraph of section 554 (a)
9	of title 5, United States Code, or of subsection (1),
10	(2), (4), (5), or (6) thereof.
11	A separate vote of the agency members shall be taken with
12	respect to each agency meeting that is closed to the public
13	pursuant to this subsection. The vote of each agency mem-
14	ber participating in such vote shall be recorded and published
15	and no proxies shall be allowed. In the case of any closing of
16	portions of a meeting to the public pursuant to this subsection,
17	the agency shall promptly publish an explanation of its
18	action.
19	(c) Each agency shall make public announcement of
20	the date, place, and subject matter of each meeting at which
21	official action is considered or discussed (whether open or
22	closed to the public) at least one week before each meeting
23	unless the agency determines by a vote of the majority of
24	its members that agency business requires that such meetings
25	be called at an earlier date, in which case the agency shall

- 1 make public announcement of the date, place, and sub-
- 2 ject matter of such meeting at the earliest practicable
- 3 opportunity.
- 4 (d) A complete transcript, including a list of all per-
- 5 sons attending and their affiliations, shall be made of each
- 6 meeting of each agency at which official action is considered
- 7 or discussed (whether open or closed to the public). Ex-
- 8 cept as provided in subsection (e) of this section a copy of
- 9 each such meeting shall be made available to the public
- 10 for inspection, and additional copies of any transcript shall
- 11 be furnished to any person at the actual cost of duplication.
- (e) In the case of meetings closed to the public pur-
- 13 suant to subsection (b) of this section, the agency may delete
- 14 from the copies of transcripts made available or furnished to
- 15 the public pursuant to subsection (d) of this section those
- 16 portions, which the agency determines by vote of a majority
- 17 of its membership consists of materials specified in paragraph
- 18 (1), (2), (3), (4), or (5) of subsection (b) of this
- 19 section. A separate vote of the agency shall be taken with
- 20 respect to each transcript. The vote of each agency mem-
- 21 ber participating in such vote shall be recorded and pub-
- 22 lished, and no proxies shall be allowed. In place of each
- 23 portion deleted from copies of the meeting transcript made
- 24 available to the public, the agency shall supply a written
- 25 explanation of why such portion was deleted and a summary

- 1 of the substance of the deleted portion that does not itself
- 2 disclose information specified in paragraph (1), (2), (3),
- 3 (4), or (5) of subsection (a). The agency shall maintain
- 4 a complete verbatim copy of the transcript of each meeting
- 5 (including those portions deleted from copies made available
- 6 to the public) for a period of at least two years after such
- 7 meeting.
- 8 (f) Each agency subject to the requirements of this
- 9 section shall, within one hundred and eighty days after the
- 10 enactment of this Act, following published notice in the
- 11 Federal Register of at least thirty days and opportunity
- 12 for written comment by interested persons, promulgate regu-
- 13 lations to implement the requirements of subsections (a)
- 14 through (e) inclusive of this section. Any citizen or person
- 15 resident in the United States may bring a proceeding in
- 16 the United States Court of Appeals for the District of Co-
- 17 lumbia Circuit—
- 18 (1) to require an agency to promulgate such regu-
- 19 lations if such agency has not promulgated such regu-
- 20 lations within the time period specified herein; or
- 21 (2) to set aside agency regulations issued pursu-
- ant to this subsection that are not in accord with the
- requirements of subsections (a) through (e) of this
- section inclusive, and to require the promulgation of
- regulations that are in accord with such subsections.

- 1 (g) The district courts of the United States shall have 2 jurisdiction to enforce the requirements of subsections (a)
- 3 through (e) inclusive of this section by declaratory judg-
- 4 ment, injunctive relief, or otherwise. Such actions may
- 5 be brought by any citizen or person resident in the United
- 6 States. Such actions shall be brought in the district wherein
- 7 the plaintiff resides, or has his principal place of business,
- 8 or where the agency in question has its headquarters. In
- 9 deciding such cases the court may examine any portion of
- 10 a meeting transcript that was deleted from the publicly
- 11 available copy. Among other forms of equitable relief, the
- 12 court may require that any portion of a meeting transcript
- 13 improperly deleted from the publicly available copy be made
- 14 publicly available for inspection and copying, and, having
- 15 due regard for orderly administration and the public interest,
- 16 may set aside any agency action taken or discussed at an
- 17 agency meeting improperly closed to the public.
- (h) In any action brought pursuant to subsection (f)
- 19 or (g) of this section, costs of litigation (including reason-
- 20 able attorney's and expert witness fees) may be apportioned
- 21 to the original parties or their successors in interest when-
- 22 ever the court determines such award is appropriate.
- 23 (i) The agencies subject to the requirements of this
- 24 section shall annually report to Congress regarding their
- 25 compliance with such requirements, including a tabulation

- 1 of the total number of agency meetings open to the public,
- 2 the total number of meetings closed to the public, the reasons
- 3 for closing such meetings, and a description of any litigation
- 4 brought against the agency under this section.
- 5 SEC. 202. (a) Title 5 of the United States Code is
- 6 amended by adding after section 557 the following:
- 7 "EX PARTE COMMUNICATIONS IN AGENCY PROCEEDING
- 8 "Sec. 557. (a) This section applies, according to the
- 9 provisions thereof, to the following proceedings:
- "(1) any proceeding to which section 557 (a) of
- this title applies;
- 12 "(2) any rulemaking proceeding with respect to
- which an agency is required by section 553 of this title
- 14 to afford public notice and opportunity for participation
- by interested persons: Provided, That for purposes of
- this section the exemption from such requirements in
- section 553 (a) (2) of matters relating to public prop-
- erty, loans, grants, benefits, or contracts shall not be
- effective; or
- 20 "(3) any proceeding to prepare an environmental
- 21 impact statement required by section 102(2)(c) of
- the National Environmental Policy Act.
- 23 "(b) In any agency proceeding which is subject to
- 24 subsection (a) of this section, except to the extent required

1	for the disposition of ex parte matters as authorized by
2	law—
3	"(1) no interested person (including members or
4	employees of other government agencies) shall make or
5	cause to be made to any member of the agency in ques-
6	tion, hearing examiner, or employee who is or may be
7	involved in the decisional process of said proceeding, an
8	ex parte communication relevant to the events of the
9	proceeding;
10	"(2) no member of the agency in question, hearing
11	examiner, or employee who is or may be involved in the
12	decisional process of such proceeding, shall make or
13	cause to be made to an interested person an ex parte
14	communication relevant to the merits of the proceeding
15	"(3) a member of the agency in question, hearing
16	examiner, or employee who is or may be involved in the
17	decisional process of said proceeding, who receives a
18	communication in violation of this subsection shall place
1 9	in the public record of the proceeding—
20	"(A) written material submitted in violation of
21	this subsection; or
22	"(B) memorandums stating the substance of
23	all oral communications submitted in violation of
24	this subsection; or

1	(O) responses to the materials described in
2	subparagraphs (A) and (B) of this subsection
3	"(4) upon receipt of a communication in violation
4	of this subsection from a party to any proceeding to
5	which this section applies, the hearing examiner or em
6	ployee presiding at the hearings may, to the exten
7	consistent with the interests of justice and the policy
8	of the underlying statutes, require the persons or party to
9	show cause why his claim or interest in the proceeding
10	should not be discussed, denied, disregarded, or other
11	wise adversely affected by virtue of such violation; and
12	"(5) the prohibitions of this subsection shall apply
1 3	at such time as the agency shall designate, having due
14	regard for the public interest in open decisionmaking
15	by agencies, but in no case shall they apply later than
16	the time at which a proceeding is noticed for hearing
17	or opportunity for participation by interested person
18	unless the person is responsible for the communication
19	has knowledge that it will be noticed, in which case
20	said prohibition shall apply at the time of his acquisition
21	of such knowledge.
22	"(c) Each agency subject to the requirements of this
23	section shall, within one hundred and eighty days after the
24	enactment of this section, following published notice in
25	the Federal Register of at least thirty days and opportu

- 1 nity for written comment by interested persons, promul-
- 2 gate regulations to implement the requirements of subsection
- 3 (b) of this section. Any citizen or person resident in the
- 4 United States may bring a proceeding in the United States
- 5 Court of Appeals for the District of Columbia Circuit-
- 6 "(1) to require any agency to promulgate such
- 7 regulations if such agency has not promulgated such
- 8 regulations within the time period specified herein;
- 9 "(2) to set aside agency regulations issued pursuant
- to this subsection that are not in accord with the require-
- ments of subsection (b) of this section; and to require
- the promulgation of regulations that are in accord with
- such subsection.
- "(d) The district courts of the United States shall have
- 15 jurisdiction to enforce the requirements of subsection (b) of
- 16 this section by declaratory judgment, injunctive relief, or
- 17 otherwise. Such action may be brought by any citizen of or
- 18 person resident in the United States. Such actions shall be
- 19 brought in the district wherein the plaintiff resides or has his
- 20 principal place of business or where the agency in question
- 21 has its headquarters. Where a person other than an agency,
- 22 agency member, hearing examiner, or employee is alleged
- 23 to have participated in a violation of the requirements of sub-
- 24 section (b) of this section such person may, but need not
- 25 be joined with the agency as a party defendant; for purposes

- 1 of joining such person as a party defendant, service may be
- 2 had on such person in any district. Among other forms of
- 3 equitable relief, the court may require that any ex parte com-
- 4 munication made or received in violation of the requirements
- 5 of subsection (b) of this section be published, and, having
- 6 due regard for orderly administration and the public interest,
- 7 may set aside any agency action taken in a proceeding with
- 8 respect to which the violation occurred.
- 9 "(e) In any action brought pursuant to subsection (c)
- 10 or (d) of this subsection, cost of litigation (including
- 11 reasonable attorney's and expert witness fees) may be ap-
- 12 portioned to the original parties or their successors in interest
- 13 whenever the court determines such award is appropriate."
- SEC. 203. This title and the amendments made by this
- 15 title do not authorize withholding of information or limit the
- 16 availability of records to the public except as provided in this
- 17 title. This title is not to be construed as authority to withhold
- 18 information from Congress.

A BILL

To provide that meetings of Government agencies and of congressional committees shall be open to the public, and for other purposes.

By Mr. Chiles, Mr. Clark, Mr. Cook, Mr. Cranston, Mr. Gurney, Mr. Hart, Mr. Hatfield, Mr. Humphrey, Mr. Mathias, Mr. Metcalf, Mr. Mondale, Mr. Nelson, Mr. Packwood, Mr. Proxmire, Mr. Roth, Mr. Stafford, Mr. Stevenson, Mr. Tunney, and Mr. Weicker

JANUARY 9, 1973

Read twice and referred to the Committee on Government Operations